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After the bottleneck: Genome-wide diversification of the *Mycobacterium tuberculosis* complex by mutation, recombination, and natural selection

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Many of the most virulent bacterial pathogens show low genetic diversity and sexual isolation. Accordingly, *Mycobacterium tuberculosis*, the deadliest human pathogen, is thought to be clonal and evolve by genetic drift. Yet, its genome shows few of the concomitant signs of genome degradation. We analyzed 24 genomes and found an excess of genetic diversity in regions encoding key adaptive functions including the type VII secretion system and the ancient horizontally transferred virulence-related regions. Four different approaches showed evident signs of recombination in *M. tuberculosis*. Recombination tracts add a high density of polymorphisms, and many are thus predicted to arise from outside the clade. Some of these tracts match *Mycobacterium canetti* sequences. Recombination introduced an excess of non-synonymous diversity in general and even more in genes expected to be under positive or diversifying selection, e.g., cell wall component genes. Mutations leading to non-synonymous SNPs are effectively purged in MTBC, which shows dominance of purifying selection. MTBC mutation bias toward AT nucleotides is not compensated by biased gene conversion, suggesting the action of natural selection also on synonymous changes. Together, all of these observations point to a strong imprint of recombination and selection in the genome affecting both non-synonymous and synonymous positions. Hence, contrary to some other pathogens and previous proposals concerning *M. tuberculosis*, this lineage may have come out of its ancestral bottleneck as a very successful pathogen that is rapidly diversifying by the action of mutation, recombination, and natural selection.

[Supplemental material is available for this article.]
example of a lineage that emerged from a bottleneck as a highly successful pathogen without a concomitant heavy genetic load. *M. tuberculosis* evolved into six major lineages strongly associated with particular geographic regions (Filliol et al. 2006; Gagneux et al. 2006; Gutacker et al. 2006). While this is consistent with clonal descent, it is not a demonstration of it. For example, the genome of *Helicobacter pylori* shows evidence of very high rates of recombination but still shows a strong biogeographic signal that allows tracing of human migrations (Falush et al. 2003). The analyses of housekeeping genes from *Mycobacterium prototuberculosis*, closely related to MTBC, suggested that the extant tubercle bacilli genome is a composite assembly of mosaic blocks by horizontal genetic transfer (HGT) prior to the bottleneck that formed MTBC (Guitierrez et al. 2005). Whole-genome comparisons across mycobacterial species led to the identification of 235 and 137 such horizontally transferred genes based, respectively, on sequence composition (Becq et al. 2007) and phylogenetic methods (Veyrier et al. 2009). These events predate the diversification of MTBC and have thus evolved with the rest of the genome since MTBC’s last common ancestor. There is published evidence of interchromosomal recombination ahead of a few loci of the *M. tuberculosis* genome (Hughes et al. 2002; Liu et al. 2006). Nevertheless, this evidence has been regarded as largely anecdotal, and MTBC is systematically considered as strictly clonal (Smith et al. 2006; Comas and Gagneux 2011).

While MTBC lineages are often considered to be monomorphic, molecular typing techniques such as IS6110-RFLP, spoligotyping, and Variable Number of Tandem Repeat-Mycobacterial Interspersed Repetitive Unit (VNTR-MIRU) revealed a certain level of genetic diversity among strains (Otal et al. 1991; Kamerbeek et al. 1997; Supply et al. 2006). The public health need to classify strains into closely related groups and the need to estimate accurate genetic relationships among isolates have led to the analysis of several variations at the genome scale level. This allowed the selection of different characteristic single nucleotide polymorphisms (SNPs) (Filliol et al. 2006; Gutacker et al. 2006; Dos Vultos et al. 2008; Comas et al. 2010) and large sequence polymorphisms (LSPs) (Brosch et al. 2002; Alland et al. 2007) in the last decade. These studies confirmed the extensive genetic diversity and genome plasticity of the Mycobacterial genome. With the advent of high throughput Next Generation Sequencing technologies (NGS), genome sequencing has moved into a new era, opening the possibility of understanding the genetic basis for strain-specific differences in pathogenicity. This is particularly important for lineages that have emerged recently after a change in niche, where a large number of genomes is necessary for detailed evolutionary analyses.

To perform a genome-scale analysis of how genetic diversity builds up in the lineages, we analyzed the SNPs of the genomes of 24 MTBC strains from all major lineages. The advantage of the use of SNPs data when compared with other approaches such as spoligotyping and VNTR-MIRU is that SNPs are distributed throughout the genome, in intragenic and intergenic regions; they are reliable; they can be precisely assessed; and they have a low reverse mutation rate and homoplasy index (Comas et al. 2009). They are thus ideal to investigate the genomic imprint of natural selection and genetic recombination.

**Results**

**Whole-genome SNP discovery and phylogeny of MTBC strains**

The genomes of three *M. tuberculosis* strains—GC1237, R34-990172, and W4—were sequenced at high coverage rates (67×, 411×, and 386×, respectively) to obtain a better sampling of the East Asian clade, which includes the fast disseminating Beijing strains (Bilaniuk et al. 2002; von Groll et al. 2010). The GC1237 strain is pan-susceptible to all first-line anti-tuberculosis drugs (rifampin,isoniazid, streptomycin, ethambutol, and pyrazinamide) and is responsible for an ongoing epidemic in the Gran Canaries Island (Caminero et al. 2001; Alonso et al. 2011). We compared these three strains with 21 others publicly available (Supplemental Table S1). We excluded SNPs identified in the ~10% of the genome composed of repetitive regions because mapping in these regions is error-prone. This includes the highly GC-rich and polymorphic PE/PPE gene family, ess genes, and Insertion Sequences. Gene conversion resulting from frequent intrachromosomal homologous recombination has been reported between members of each of these families (Ho et al. 2000; McEvoy et al. 2007; Karboul et al. 2008; Uplekar et al. 2011). Hence, exclusion of these regions prevents spurious inclusion of intrachromosomal recombination events in the analyses of recombination presented below. A total number of 12,475 polymorphic sites were thus identified and used to generate phylogenetic trees by maximum likelihood (ML) using RaxML (Fig. 1; Stamatakis 2006), Tree-Puzzle (Supplemental Fig. S2A; Schmidt et al. 2002), and by Bayesian methods using BEAST (Supplemental Fig. S2B; Drummond and Rambaut 2007). All nodes of the ML tree generated by RaxML have very good support values. The tree was rooted using the complete genome sequence of *M. canetti* (NC_015848, CIPT140010059) after removing the recombination tracts found in the ClonalFrame analysis below. All methods positioned the root between the West African clade/BCG/M. bovis and the remaining MTBC, in agreement with previous works (Wirth et al. 2008; Comas et al. 2010). We also did all analyses below with the root placed either between the Indian Ocean lineage and the rest of the MTBC or between the so-called ancient and modern lineages. All results remained qualitatively unchanged upon displacement of the root. The tree is consistent with previous analyses showing the geographical expansion of the MTBC lineages using partial or complete genome data (Gagneux and Small 2007; Comas et al. 2010). Thus, it is a suitable basis to map the origin of SNPs in the lineage.

**Local diversification of MTBC genomes**

To study the patterns of SNP distribution, we first analyzed the 55 HGT regions, including 256 genes, identified by sequence composition methods (Becq et al. 2007). These regions were acquired before MTBC diversification and were thus expected to accumulate SNPs like the rest of the genome. Instead, these regions contain 5.3% of the SNPs, which is significantly more than expected by position methods (Becq et al. 2007). These regions were acquired by sequence composition methods positioned the root between the West African clade/BCG/M. bovis and the remaining MTBC, in agreement with previous works (Wirth et al. 2008; Comas et al. 2010). We also did all analyses below with the root placed either between the Indian Ocean lineage and the rest of the MTBC or between the so-called ancient and modern lineages. All results remained qualitatively unchanged upon displacement of the root. The tree is consistent with previous analyses showing the geographical expansion of the MTBC lineages using partial or complete genome data (Gagneux and Small 2007; Comas et al. 2010). Thus, it is a suitable basis to map the origin of SNPs in the lineage.
corresponds to a previously reported virulence operon including the genes \( \text{Rv0986-Rv0988} \) that are included in one of the above-mentioned HGT regions (Rosas-Magallanes et al. 2006; Veyrier et al. 2009). This region is part of the in vivo–expressed genomic island (iVEGI) that includes a set of genes highly expressed only in vivo, among which are the two genes \( \text{Rv0986} \) and \( \text{Rv0988} \) (Talaat et al. 2004). HGT regions have a significant excess of SNPs even after exclusion of this operon (\( P \)-value < 0.0001, same test). Thus, this region evolves particularly fast within the fast-evolving HGT regions.

Another region with high density of SNPs (Fig. 3B, eccD1-espK) was found in the \( \text{ESX-1} \) locus (RD1 region), which includes a type VII secretion system (Bitter et al. 2009). This secretion system is needed for the secretion of the EsxA (also known as ESAT6)/EsxB (also known as CFP10) proteins involved in the pathogenicity of \( \text{M. tuberculosis} \) (Guinn et al. 2004). Its deletion is one of the major causes of attenuated virulence of the BCG vaccine (Majlessi et al. 2005). In addition, the deletion of the RD1 region in the \( \text{M. tuberculosis} \) H37Rv strain results in an attenuated phenotype (Lewis et al. 2003). \( \text{ESX-1} \) is also absent from the genome of the weakly virulent vole bacillus \( \text{Mycobacterium microti} \) (Pym et al. 2002). The protein EccD1 is part of the cell membrane (Bitter et al. 2009), and the protein EspK is secreted by the ESX-1 system and is part of the capsule (Sani et al. 2010), but its precise function, as that of EspJ, is unknown. Given the small number of polymorphisms in the data set, gene-per-gene analysis of positive selection lacks statistical power. Hence, we cannot at this stage assess if the excess of SNPs in these regions is caused by less purifying selection and/or more positive selection. Yet, the observation that regions of higher SNPs density in the genome are intimately linked to the virulence of \( \text{M. tuberculosis} \) shows the relevance of this trait in the evolution and diversification of the genome.

\textit{Mycobacterium tuberculosis} complex is not strictly clonal

We constructed a Split decomposition network to check for the absence of recombination events between the genomes. This method allows the visualization of the ancestral relationships between elements and does show some conflicting phylogenetic signals as indicated by the presence of cycles in the network (Fig. 4). These cycles are highly supported statistically (\( \Phi = 0 \)) and thus are suggestive of the presence of recombination. To test this hypothesis further, we analyzed the patterns of linkage disequilibrium (LD) between all pairs of SNPs. LD represents the tendency for different alleles to co-occur non-randomly and is higher in the absence of recombination. We found high values of LD for sites that are very close to each other. LD decays quickly as sites further away are considered and stabilizes for distances higher than \( \sim 300 \) bp (Fig. 5). This pattern is compatible with recombination happening frequently but always involving short fragments of DNA.

Given the evidence for recombination, we made a scan for conversion tracts in the MTBC genomes using geneconv (Sawyer 1989), which is fast, has low false-positive rates (Posada and
errors are expected to be more frequent in the draft genomes. Such recombinant tracts in the terminal branches. Such tests indicate that sequence or assembling errors should be of very small effect in the inferred recombination rate. Finally, we recomputed LD after removing the recombination tracts predicted by ClonalFrame and found that essentially no LD was left (Fig. 5). Hence, all methods give consistent information about the presence of recombination in MTBC.

Approximately a third of the recombinant segments are found in intergenic regions (Supplemental Table S2), which is more than would be expected by chance alone since ~90% of the positions of the M. tuberculosis genome are coding (\( p < 0.001 \), \( \chi^2 \) test). We estimated that recombination events occurred five times less frequently than mutations (\( \mu/\delta = 0.22 \) with 95% credibility interval CI = [0.18;0.27]) and involved small recombination tracts (average \( \delta = 54 \) bp, CI = [50;58]). This low number is consistent with the results obtained in the LD analysis above. The 95% credibility interval of \( r/m \), which represents the relative impact of recombination on sequence diversification, was 0.426–0.565 (mean = 0.486), indicating that, unlike mutation, recombination affects several nucleotides at each occurrence. Using the same method, the \( r/m \) value was estimated as 0.63–1.13 and 0.56–1.01 in Clostridium difficile and Chlamydia trachomatis, respectively (He et al. 2010; Joseph et al. 2011). These genomes have thus slightly higher relative input of observable diversity caused by recombination, because they have much larger tract lengths, averaging several hundred base pairs (Didelot et al. 2010; Joseph et al. 2011). As expected, the free-living genomes of Bacillus cereus are more deeply affected by recombination (\( r/m = 2.37–2.45 \)) (Didelot et al. 2010).

The average amount of polymorphism introduced by recombination events was very high (4.3%, CI = [3.9%;4.8%]), i.e., approximately two orders of magnitude above the average pairwise distance between the 24 genomes (\( n = 0.075\% \)). This is a strong indication that the identified recombination events involved donors outside of the M. tuberculosis complex. We therefore compared the sequences of the imported fragments with the genomes of other distant Mycobacteria (Mycobacterium kansasi, Mycobacterium avium, and M. marinum). We did not find sequences identical to recombination tracts in any of these distant genomes, as expected given the requirement of high sequence similarity in homologous recombination (Vulic et al. 1997; Majewski and Cohran 1998). On the other hand, for 40 out of 964 imports, we found an exact match of the sequence in the genome of M. canetti. For an additional 229, we found matches in M. canetti with >98% sequence identity. Note that the M. canetti sequence is not used to detect recombination tracts in the ClonalFrame analysis. Hence, there is no intrinsic bias toward M. canetti in our analysis. The high diversity of recombination tracts, the inference of their origin outside MTBC, and the match with sequences from a closely related genome strongly suggest that M. tuberculosis lineages recombine with closely related strains of other species.

To quantify the likelihood of missing recombination events within MTBC, we expunged the multiple alignment from the con-
version tracts found by ClonalFrame. We then selected random subsequences of 100 nt, twice the average size of the observed conversion tracts, in the multiple alignment. Finally, we randomly picked two taxa and quantified the number of differences between them in each subsequence (Supplemental Fig. S5). This simulation was repeated 100,000 times. We found that 96.75% of the pairs of 100-nt subsequences had no differences and 3.18% had only one difference. Hence, only 0.07% of the pairwise comparisons have the minimal number of SNPs of the conversion tracts we observe (two SNPs). If recombination between MTBC were random, this suggests that we miss ~99.93% of all events. Since the most divergent sequences in our analysis correspond to MTBC strains of different geographical areas, which are less likely to meet, even this high number is an under-estimate. However, because recombination between identical sequences does not introduce SNPs, the r/m ratio given above is expected to be much less affected by recombination within MTBC. In short, both the SplitsTree analysis, which is insensitive to recombination between MTBC and more distant lineages, geneconv, and the ClonalFrame analyses show many recent recombination events. We expect that the vast majority of recombination events take place between closely related strains and that these events will pass unnoticed by our analyses. Together, these results strongly argue against the paradigm that MTBC strains are strictly clonal.

The evolution of the composition of MTBC genomes
HGT regions have atypical sequence composition reflecting recent acquisition by the genome (Rosas-Magallanes et al. 2006; Becq et al. 2007; Veyrier et al. 2009), even if this acquisition predates...
MTBC diversification. Hence, high SNP density in HGT regions could result from mutational pressure, i.e., amelioration toward the composition of the rest of the genome (Lawrence and Ochman 1997). To test this hypothesis, we analyzed the composition of these regions and their nucleotide substitution patterns. Substitution matrices were computed from synonymous SNPs at fourfold degenerate sites and accounting for nucleotide composition at these sites, as in Rocha et al. (2006b). The G+C composition at these positions is lower at HGT regions (70%) than in the rest of the genome (82%). To test if rapid evolution of HGT regions was caused by mutation pressure toward the native genome G+C content, we computed the nucleotide composition at equilibrium given the substitution matrices inferred from these regions (Supplemental Table S3). The results show only very slightly lower equilibrium values for the HGT regions (55% G+C) than for the rest of the genome (56% G+C). Moreover, both values are much lower than the extant G+C content (82%). Thus, HGT regions are not “ameliorating” toward the genome composition. Instead, unchecked long-term application of these mutational patterns would lead to G+C-poorer regions in both HGT and non-HGT regions. This clearly shows that mutation pressure toward the native genome G+C composition is not causing the observed excess of SNPs in HGT regions.

The previous results show that A+T-enriching SNPs are more abundant than expected in both HGT regions and in the rest of the genome. This follows a pattern previously found in a large number of genomes (Balbi et al. 2009; Hildebrand et al. 2010). Since it is unlikely that all bacterial genomes are becoming AT-rich, it is commonly thought that this mutational bias is moderated by the action of natural selection or biased gene conversion (Rocha and Feil 2010). Accordingly, GC-rich monomorphic lineages with very low effective population size, e.g., Yersinia pestis or Burkholderia mallei, exhibit extreme AT-enriching substitution patterns close to the ones of Buchnera (Hershberg and Petrov 2010). Our data show 56% of equilibrium G+C content at non-HGT-derived fourfold degenerate sites. Hence, the mutational patterns of M. tuberculosis are clearly not as extreme as those of the abovementioned lineages with presumably very low effective population sizes. The availability of the conversion tracts found by ClonalFrame allows testing the hypothesis that biased gene conversion purges AT SNPs. The SNPs carried by recombination tracts lead to an inferred fourfold degenerate G+C composition at equilibrium of 62% G+C.
This is also well below the extant G+C composition of the genome at these positions. Thus, biased gene conversion does not enrich the G+C content of the genome. Instead, it introduces SNPs that have been moderated by natural selection for a larger period of time and have thus fourfold degenerate sSNP composition intermediate between that of extant genomes (82%) and those given by the mutation matrix outside HGT regions (56%) (Castillo-Ramírez et al. 2011). This is in agreement with the action of natural selection in moderating G+C content by purging selectively AT-enriching SNPs, in which case, it suggests that synonymous polymorphisms are not neutral in MTBC.

### Natural selection moderates nsSNP and sSNP in MTBC

Overall, two-thirds of the SNPs in our data set are non-synonymous (nsSNP), and one-third are synonymous (sSNP). Yet, the ratio of nsSNP/sSNP varies along the tree, such that terminal branches have a ratio $\sim$25% higher than the inner branches close to the root (1.9 and 1.5, respectively, $p < 0.001$, $\chi^2$ test). This trend remains significant after removal of the recombination tracts of ClonalFrame ($p < 0.005$, same test). Previous analyses of smaller MTBC data sets failed to find this pattern (Hershberg et al. 2008), but similar results were found in several other species, e.g., Bacillus cereus, Staphylococcus aureus, Rickettsia, Shigella, Salmonella, and Clostridium difficile (Rocha et al. 2006a; Blanc et al. 2007; Holt et al. 2008). The simplest explanation for this pattern is that many of the standing non-synonymous changes are slightly deleterious and are purged by natural selection. Because this takes time, internal branches, which represent older polymorphisms, show a smaller ratio of nsSNP/sSNP. These results show that nsSNPs are more intensely purged by natural selection than sSNPs in MTBC.

We then analyzed the nsSNP/sSNP ratio in relation to HGT regions and conversion tracts. After removing recombination tracts, HGT regions have an excess of nsSNPs relative to the rest of the genome (nsSNP/sSNP ratios of 2.5 and 1.8, respectively, $P$-value $< 0.0001$, $\chi^2$ test). Using the same method, we obtained the same result when we analyzed the HGT regions previously identified by phylogenetic methods (Veyrier et al. 2009) (nsSNP/sSNP ratios of 2.3 and 1.8, respectively, $P$-value $< 0.0001$, $\chi^2$ test). This is consistent with increased genetic diversity in these regions caused by positive selection and/or relaxed purifying selection. We also found an over-representation of nsSNP in recombination tracts relative to the rest of the genome (2.4 and 1.8, respectively, $p < 0.0001$, same test). This is surprising since recombination tracts over-represent old polymorphisms and are thus expected to contain a higher fraction of sSNP (Castillo-Ramírez et al. 2011). This further suggests an important role for recombination in providing adaptive genetic diversity in MTBC.

Recent experimental work has reached the surprising conclusion that the mutation rate of M. tuberculosis per unit of time is nearly constant ($2.4 \times 10^{-10}$, $3 \times 10^{-10}$, and $3.5 \times 10^{-10}$ per day, for the states of active disease, latency, and reactivated infection in the macaque model) (Ford et al. 2011). As a result, the rate of accumulation of SNPs in neutral regions of the genome should allow dating of the last common ancestor of the M. tuberculosis lineages. We used the ClonalFrame results to exclude recombination tracts and computed the number of tip-to-root synonymous SNPs. We found an average of 419 tip-to-root synonymous SNPs (sSNPs) for

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**Figure 6.** Clonal genealogy reconstruction from the 24 genomes (left) and distribution of the recombination events identified by ClonalFrame (right). Each branch of the genealogy is labeled with the number of mutations (left) and recombination events (right) inferred to have occurred on that branch.
Cell envelope–related genes diversify at high rates by recombination

If diversification of the genomes were significantly moderated by natural selection, we would expect genes under diversifying selection (e.g., genes with virulence-associated functions) to have an excess of SNPs relative to other genes. Given the low level of divergence between the strains, genewise tests of positive selection lack power and were not useful to test this hypothesis (data not shown). Instead, we identified the functional classes that have significant moderation of synonymous substitutions by natural selection.

Oceanic lineages, respectively. This COG class significantly over-represents SNPs even when removing from the analysis the 13 regions where the density of SNPs is higher than in the rest of the genome ($p$-value < 0.01). If we remove from the analysis the SNPs located in recombination tracts, then this class no longer over-represents SNPs ($p$-value > 0.1). This result indicates that quick diversification of class M proteins is at least in part driven by recombination rather than by positive selection of newly arising mutations. This illustrates the importance of accounting for recombination when analyzing the functional aspects of the diversification of M. tuberculosis.

Discussion

M. tuberculosis is still a very serious public health problem and a challenge for the scientific community. It is often depicted as a strictly human, slow-growing, intracellular pathogen with a geographically structured population resulting from sexual isolation, very low infectious dose, and small effective population sizes (Sreevatsan et al. 1997; Musser et al. 2000; Gagneux and Small 2007). As a result, it has often been assumed that genetic diversity in MTBC accumulates clonally and neutrally. Yet, many of these arguments are disputable:

1. There is no significant correlation between optimal growth rate and effective population size in prokaryotes (Vieira-Silva et al. 2011). On the contrary, it has been proposed that Mycobacterium granulomas grow larger at lower growth rates (Segovia-Juarez et al. 2004), suggesting that slow growth is adaptive, not the result of genetic drift.

2. Just 10 MTBC cells are enough to start an infection with 50% probability ($ID_{50}$) (Balasubramanian et al. 1994; Dean et al. 2005). If only a few cells are transmitted each time, then population bottlenecks could severely decrease the effective population size. Yet, $ID_{50}$ is a lower-bound value; the exact number of cells transmitted is unknown and might be much larger.
3. Ours and previous works have shown that there is substantial genetic diversity within MTBC given its recent ancestry (Gutierrez et al. 2005; Gagneux and Small 2007; Hersberg et al. 2008). Importantly, this has been accompanied by rapid population growth (Wirth et al. 2008).

4. As mentioned in the introduction, the genomes of MTBC lack the obvious traces of massive genome degradation present in other lineages such as expansion of transposable elements, abundant pseudogenes, and extended loss of housekeeping functions. In fact, the size of MTBC genomes is nearly the same size as that of M. canettii. Paleogenomics data also suggest that MTBC strains have stably maintained their virulence traits for a large period of time, suggesting that the disease might be older than the last common ancestor of extant strains (Brosch et al. 2002; Donoghue et al. 2004; Gutierrez et al. 2005).

5. There is evidence that different MTBC strains are adapted to different human genetic backgrounds (Hirsh et al. 2004), and this could indicate population fragmentation and lower effective population sizes. Yet, the Beijing strains are virulent in many such backgrounds (Glynn et al. 2002), and even M. bovis, which is responsible for <1% of human tuberculosis in industrialized countries (Thoen et al. 2006), might be more prevalent in particular areas and populations. Even in San Diego, 7% of human tuberculosis was caused by M. bovis between 1994 and 2000 (LoBue et al. 2003).

6. Many animal hosts, including ~30% of the human population, carry the tubercle bacilli for very large periods of time. Co-residence and coinfection of different MTBC strains, and mycobacteria outside the MTBC clade, suggest that adaptations to hosts do not preclude coinfections or genetic exchange (Braden et al. 2001; Das et al. 2004; Mendez et al. 2009; Mallard et al. 2010).

Therefore, there may be less ground than commonly thought to the idea that the census population size of M. tuberculosis is particularly small for a human pathogen. Finally, we show in this study that previous claims of strict clonality and very weak purifying selection in MTBC also need revising.

The relatively high polymorphism introduced in the inferred recombination tracts is a strong indication that many such recombination events, which occurred on all branches of the tree, were originally acquired from genomes outside the MTBC. This is consistent with the finding of recombination tracts strictly identical to extant M. canettii sequences, the only Mycobacterium prototuberculosis strain available for comparison at the moment. M. prototuberculosis strains are very close to MTBC and have been shown to engage very often in recombination (Gutierrez et al. 2005). Therefore, the observation that some recombination tracts match M. canettii should be interpreted with care. This strain is thought to have a restricted geographical distribution in the Horn of Africa (van Soolingen et al. 1997), limiting its contact with MTBC strains. However, the lack of rapid and efficient diagnostic tests that allow the differentiation between M. canettii and M. tuberculosis may lead to an under-estimation of its range. Indeed, recent reports have suggested that the number of true cases of tuberculosis caused by M. canettii may be under-represented (Somoskovi et al. 2009; Fabre et al. 2010). Further genomic and epidemiological data are necessary to understand this pattern of transfer and which specific strains of M. prototuberculosis are sharing genetic information with MTBC. It will also be of interest to assess if exchange is reciprocal, i.e., if these strains also receive genetic information from MTBC and what are the consequences of this for the evolution of their virulence.

The high diversity of recombination tracts should not be interpreted as lack of recombination within MTBC strains that is nearly undetectable using available methods. Recombination frequency increases exponentially with genetic similarity (Vulic et al. 1997), and the MTBC is strongly structured by geography. Therefore recombination between MTBC should be much more frequent than recombination of MTBC with other species. As a result, we might be vastly under-estimating the rate of recombination within MTBC. Genetic exchanges between closely related strains can have a considerable role in terms of the population genetics of M. tuberculosis, e.g., they might allow breaking clonal interference and more efficient purge of slightly deleterious mutations. Precise assessment of the amount of recombination within MTBC is not possible currently because we do not know how to model the effects of population structure at large, geography, nor at small, coinfection, scales on the frequency of recombination. Experimental work and more intensive whole-genome sampling will be needed to clarify the extent of recombination between closely related strains of M. tuberculosis.

Strict clonality in MTBC has become a paradigm in the community. It is therefore important to understand why our results contradict the perceived clonality of MTBC. Given its recent origin, the MTBC shows low SNP density, and MLST-like analyses have not been as developed as for other pathogens. Instead, most typing and epidemiological studies of MTBC strains use methods based on deletions of genetic material (spoligotyping, regions of difference [RD], and MIRU-VNTR) (Otal et al. 1991; Kamerbeek et al. 1997; Supply et al. 2006) that are prone to homoplasy (Comas et al. 2009). It is not surprising that these methods have failed to identify frequent recombination. Single crossover requires sequence identity for at least -25 nt and high similarity throughout the recombining segment (Vulic et al. 1997). Because the frequency of recombination depends exponentially on the size of the fragment, sequences smaller than 300 nt recombine at low frequency (Biswas et al. 1993). A deletion can be reverted by recombination when incoming DNA sequences are large enough to include the deleted section and include bordering sequences recombining at each edge (double crossover). The small size of recombination fragments renders reversion of deletions in MTBC very unlikely. Hence, standard typing methods are not adapted to detect recombination in MTBC. Only SNP analysis can provide this information. Importantly, this also justifies why large (RD) deletions follow patterns typical of strict clonality: Small conversion tracts cannot recombine them.

Several lines of evidence in our study point to a significant role of natural selection in shaping MTBC genomes. First, the SNP distribution in genomes is not random, suggesting that diversifying selection is at work notably in genes playing a role in cell wall/membrane/envelope biogenesis, which tend to accumulate an excess of SNPs. This result is probably related to the lifestyle of the tubercle bacilli, producing long chronic colonization of hosts, which might result in selection pressure for arms races with the host, e.g., to evade host defenses (Dawkins and Krebs 1979) or diversifying selection to adapt to different host genetic backgrounds (Di Pietrantonio et al. 2011). While we still lack examples of horizontal gene transfer after the last common ancestor of MTBC, our approach was not aimed at identifying regions that could not be mapped in extant genomes; rather, we showed that regions transferred to the ancestor of MTBC also accumulate an excess of SNPs. These regions include genes contributing to the virulence of the M. tuberculosis genome whose diversification might be relevant for the differentiation of MTBC from other
Mycobacteria and for the specialization of MTBC lineages. Second, we find evidence for predominantly purifying selection at non-synonymous positions. We show that ancient nsSNPs have been more intensely purged from populations, reflecting the efficiency of natural selection in removing deleterious polymorphisms. Third, our results are consistent with genome-wide purifying selection at synonymous sites, which is thought to require large effective population sizes. The substitution matrix of MTBC is far from the extremes that are the hallmark of lineages with very low effective population sizes. Nevertheless, it has in common with practically all other bacteria a gap between A+T content given by the mutation matrix and the extant genome. Since this gap is not compensated by recombination, we suggest that selective processes preferentially purge AT-enriching mutations. This efficiency of selection in MTBC is consistent with the observed codon usage bias in highly expressed M. tuberculosis genes (Anderson and Sharp 1996). The relatively low codon usage bias in MTBC needs not be ascribed to low effective population size because slow growers have low codon usage biases independently of effective population sizes (Vieira-Silva and Rocha 2010).

One might argue that convergent evolution creates homoplasies and that we are mistaking this process with recombination. We find this hypothesis very unlikely. Given the low density of SNPs in MTBC, homoplasies will only arise at high rates under strong selection for a given adaptation in the different lineages and when such an adaptation is only possible through a very small number of mutations. Accordingly, convergent evolution caused by precisely identical point mutations is very rarely observed in experimental evolution and works of population genetics (Woods et al. 2006; Christin et al. 2010). Furthermore, the recombination tracts identified by ClonalFrame have a minimum of two incongruent closely spaced SNPs. Hence, for these tracts to be caused by convergent evolution, one needs to invoke two closely spaced very unlikely events. Finally, among the recombination tracts identified in intragenic regions, 52.3% include sSNPs and 11.2% include only sSNPs, which are not expected to be under strong selection and lead to convergence. While we cannot exclude the presence of homoplasies in our data set caused by natural selection, these are very unlikely to affect significantly our estimates of recombination rates.

Selective diversification of MTBC might explain the association between host response and strain genetic background recently reported (Portevin et al. 2011). Recombination, as an important component of MTBC diversification, may have allowed rapid generation of genetic diversity and more efficient purifying selection of deleterious substitutions that became accidentally fixed at high rates. Hence, contrary to other well-known recent pathogens, M. tuberculosis may have emerged from the founding bottleneck with little genetic load and capable of stably diversifying into a successful pathogen.

Methods

**Bacterial strains**

We used three different sources of sequence data: complete genome sequences, unfinished genomes, and paired-end Illumina reads (Supplemental Table S1). We sequenced the genomes of three Beijing strains: R34-990172, GC1237, and W4. All sequencing data were deposited to the European Nucleotide Archive (ENA) database, and the entire data set is available using the study accession number ERP001173. We analyzed five other Beijing strains from the East-Asian lineage (94_M4241A*, 02_1987*, T85*, and W148*; 210), seven strains from the Euro-American lineage (CDC1551, F11, KZN1435, Haarlem, C-strain, GM1503*, 98-604*), four strains from the Indo-Oceanic lineage (T17*, T46*, T92*, EAS054*), two strains from the West-African lineage (K85*, CPHLA*), and finally, the BCG str. Pasteur 1173P2 and the M. bovis AF2122/97 strain. Supplemental Figure S1 summarizes the steps followed to analyze the data. Strains marked above with an asterisk were made available by the Mycobacterium tuberculosis Diversity Sequencing Project, Broad Institute of Harvard and MIT (http://www.broadinstitute.org/).

**DNA sequencing**

The genomic DNA was sequenced using the Illumina Genome Analyzer GAIIL, according to the manufacturer’s specifications with the paired-end module attachment (GATC Biotech AG). Samples were prepared to produce a mean fragment size of 200 bp and 36 bp reads for the GC1237 genome and 77 bp for the R34-990172 and W4 strains. To optimize downstream analyses, quality control of the read-pairs of the Illumina pipeline were performed using the FASTX toolkit (http://hannonlab.cshl.edu/fastx_toolkit/) by analyzing each set of reads.

**SNPs mapping and comparative analyses**

Paired-end reads were mapped to the genome sequence of M. tuberculosis H37Rv reference strain using two different programs. MAQ (Mapping and Assembly with Qualities) (Li et al. 2008) performs ungapped alignment of the Illumina reads to the H37Rv reference genome. MAQ uses read-pairs to accurately map reads to repetitive sequences if their mate-pairs are confidently aligned. The MAQ output file was visualized using the MapView viewer (Bao et al. 2009). We also made gapped global alignment of paired-end reads with the inGAP pipeline (Qi et al. 2010), which implements the Burrows-Wheeler transform-based aligner (Li and Durbin 2009). We visualized the mapped reads to the whole-genome sequence of the reference strain and its genome annotation using the inGAP pipeline. We developed several Python modules that use the output of the different aligner programs listed above (MAQ, BWA, MUMmer 3.2, and Mauve 2.3.1) to identify specific and shared SNPs between all compared genomes. For the sequenced genomes in this study, SNPs have been checked by taking into account the quality-control score of each nucleotide position (QC > 20). For the other genomes, all specific SNPs for each strain were manually inspected by taking into account if SNPs
were detected by the two aligners, MUMmer 3.2 (Kurtz et al. 2004) and MAUVE (Darling et al. 2004), and by checking if these SNPs were located outside repetitive sequences. On the other hand, and in order to get a more precise estimation of the quality of identified SNPs in our study, we were able to retrieve for five strains (T92, T85, T17, GM1503, and W148) the original set of Illumina reads with their quality-control files. For these strains, the comparison of the two sets of SNPs—(1) the SNPs obtained by analyzing the contig data (this study) and (2) the SNPs obtained after analyzing the Illumina reads—gave us an estimate of the number of spurious SNPs arising from sequencing or assembling errors.

SNP clustering

From all SNPs identified in the 23 genome sequences, the density of SNPs was calculated throughout the Mycobacterial genome using a sliding-window size of 5 kb (step of the sliding window = 5 kb). This analysis led to the construction of a SNP clustering map. The distribution of all of these regions was compared with the genomic location of the previously reported horizontal transfer regions (HGT) (Becq et al. 2007; Veyrier et al. 2009).

Evolutionary analyses

Phylogenies were inferred using RaxML (version 7.2.8) (Stamatakis 2006), Tree-Puzzle (version 5.2) (Schmidt et al. 2002), and BEAST (version 1.6.1) (Drummond and Rambaut 2007). RaxML was used for maximum likelihood (ML)–based estimates of the MTBC phylogeny, and 1000 bootstrap replicates were performed to assess statistical support. The trees were visualized using FigTree (version 1.2.1). For Tree-Puzzle and BEAST phylogenies, please refer to the Supplemental Material. The substitutions leading to each SNP were mapped in the phylogenetic tree using paml from the PAML package (version 4.4e) (Yang 2007).

Detection of recombination

We used the split decomposition method implemented in SplitsTree4 (version 4.11.3) to compute unrooted phylogenetic networks (Huson and Bryant 2006), which were validated statistically using the Phi test. The Phi test calculates the pairwise homoplasy index (PHI) as the mean of the refined incompatibility scores obtained for nearby nucleotide sites along the sequences (Bruen et al. 2006). For all pairs of SNPs, we also computed the correlation coefficient $r^2$, which is a measure of linkage disequilibrium between sites (Hill 1975). We compared the level of LD with the genomic distance between pairs of sites to test for the presence of recombination tracts in the ancestry of the 24 genomes (Shapiro et al. 2009). Recombination was also detected using geneconv (Sawyer 1989) with default parameters.

ClonalFrame analysis

We used ClonalFrame version 1.2 (Didelot and Falush 2007) to reconstruct the clonal genealogy relating the 24 genomes to each other, as well as the identification of the genomic position of homologous recombination events where inheritance did not follow this clonal genealogy. The basic model in ClonalFrame is one of extrapopulation recombination. However, as demonstrated before (Didelot and Falush 2007), this can also pick up a fair proportion of intrapopulation recombination. ClonalFrame was run for 100,000 iterations, the first half of which were discarded as burn-in. Convergence and mixing were found to be satisfactory by manual comparison of four independent runs as well as using the method of Gelman and Rubin (1992). We looked for the origin of recombination in the more distantly related genomes Mycobacterium avium (NC_008595), M. marinum (NC_010612), M. kansassii ATCC 12478 (NZ_ACBV00000000), and M. canettii (NC_015848, CIP714 0010059). We extracted from the ClonalFrame output the sequence that had been inferred to be recombinant, and searched for similar sequences using BLAST (Altschul et al. 1990, 1997) against each of the genomes above, as done in Didelot et al. (2009).

Data access

All sequencing data have been submitted to the European Nucleotide Archive (http://www.ebi.ac.uk/ena/) under study accession number ERP001173.

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References


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