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## Bacterial and cellular RNAs at work during *Listeria* infection.

Nina Sesto, Mikael Koutero, Pascale Cossart

► **To cite this version:**

Nina Sesto, Mikael Koutero, Pascale Cossart. Bacterial and cellular RNAs at work during *Listeria* infection.. *Future Microbiology*, 2013, 9 (9), pp.1025-37. 10.2217/FMB.14.79 . pasteur-01161885

**HAL Id: pasteur-01161885**

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Submitted on 9 Jun 2015

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**1 Bacterial and cellular RNAs at work during *Listeria* infection**

2

**3 Abstract/Summary**

4 *Listeria monocytogenes* an intracellular pathogen that can enter and invade host  
5 cells. In the course of the infection, RNA-mediated regulatory mechanisms provide a fast  
6 and versatile response for both the bacterium and the host. They regulate a variety of  
7 processes such as environment sensing, and virulence in pathogenic bacteria as well as  
8 development, cellular differentiation, metabolism and immune response in eukaryotic  
9 cells. The aim of this review is to summarize first the RNA-mediated regulatory  
10 mechanisms playing a role in the *Listeria* lifestyle and virulence and then the host  
11 miRNA response to *Listeria* infection. Finally, we discuss the potential crosstalk between  
12 bacterial RNAs and host RNA regulatory mechanisms as new mechanisms of bacterial  
13 virulence.

14

**15 Keywords**

16 virulence, sRNA, asRNA, riboswitch, thermosensor, excludon, CRISPR, miRNA, immune  
17 response, RNA secretion

18

19 **Introduction**

20           The human pathogen *Listeriamonocytogenes* ranks among the best-known  
21 invasive bacteria. In the course of the infection of susceptible individuals, primarily  
22 elderly and pregnant women, *Listeria* can cross the intestinal, blood-brain and feto-  
23 placental barriers causing a disease known as listeriosis. *Listeria* is an intracellular  
24 pathogen that has the ability to invade, survive and actively multiply within professional  
25 phagocytes and a number of non-phagocytic cells. During infection, *Listeria* produces a  
26 plethora of virulence factors whose production is spatio-temporally regulated by both  
27 protein-mediated and RNA-mediated regulatory mechanisms. The secreted and surface  
28 exposed virulence factors allow *Listeria* to deploy a number of sophisticated strategies  
29 to compromise the cell and also promote its survival. These involve adherence and entry  
30 in to the mammalian cells by exploiting host cell receptors and signalling  
31 events, manipulation of the immune defence mechanisms, impairment of organelle  
32 dynamics and interference with post-translational modifications. Recent studies have  
33 highlighted that *Listeria* could also reprogram the host cell transcription by inducing  
34 histone modifications, chromatin remodelling and by impacting on the miRNA  
35 expression profiles of infected cells and tissues [1-4].

36           The mechanisms underlying mammalian and bacterial gene regulations share  
37 remarkable similarities. Besides protein regulators, non-coding RNAs (ncRNAs) are  
38 increasingly recognized as highly versatile regulatory components in both eukaryotes  
39 and prokaryotes. Their roles range from transcription regulation to translation  
40 repression and chromatin remodelling. Prokaryotic ncRNAs have important roles in  
41 mediating the response to environmental cues, in performing housekeeping functions  
42 and in controlling the virulence in pathogenic bacteria [5, 6]. The first ncRNAs in *Listeria*  
43 were identified by co-immunoprecipitation with Hfq, a small RNA-binding protein

44 required for small RNAs function in bacteria [7] and by an *in-silico* based approach [8].  
45 However, major progress in the discovery of regulatory RNA transcripts were made  
46 with the use of high-density tiling arrays and RNA-Seq [9-13], which provided a picture of  
47 the whole *Listeria* transcriptome in multiple conditions. This led to the annotation of  
48 hundreds of regulatory RNAs in *Listeria* among which some play regulatory roles in  
49 virulence [14]. Likewise, eukaryotic ncRNAs, including microRNAs (miRNAs) and long  
50 non-coding RNAs (lncRNAs), regulate a variety of processes such as development,  
51 cellular differentiation, metabolism, immune response as well as viral and parasite  
52 infections [15-18]. More than 1000 miRNAs are annotated in the human genome and it  
53 is predicted they could regulate 60% of the human transcriptome [19].

54 The aim of this review is to highlight the importance of RNA-mediated regulatory  
55 mechanisms, both in *Listeria* and in the infected mammalian cell, which play a role in the  
56 subtle pathogen-host interactions, dictating the progress of the infection. We will first  
57 review the known RNA-mediated regulatory mechanisms controlling the *Listeria*  
58 virulence and then our current knowledge on the expression of eukaryotic miRNAs in  
59 the response to *Listeria* infection. Finally, we will speculate on the potential crosstalk  
60 between bacterial and host RNA regulatory mechanisms during the infection.

61

## 62 **I. The *Listeria* regulatory RNA repertoire important for the virulence process**

63 Bacterial regulatory RNAs can be classified into several groups: 5'-untranslated  
64 regions (5'-UTRs) of mRNAs, *cis*-encoded antisense RNAs (asRNAs), *trans*-acting small  
65 RNAs (sRNAs) and the more recently described, Clustered Regularly Interspaced Short  
66 Palindromic Repeats (CRISPRs). In the following section, we will briefly describe the  
67 main regulatory principles characteristic for each class, and further detail the specific  
68 examples of molecular mechanisms found to have an impact on *Listeria* virulence.

69       **The 5'-untranslated region (5'UTR) of an mRNA** is located between the  
70 transcriptional start site (TSS) and the translational initiation site. It harbours the Shine-  
71 Dalgarno (SD) sequence to which the ribosome binds and initiates protein translation. In  
72 prokaryotes, transcription and translation are coupled and therefore, many 5'-UTRs have  
73 evolved as efficient gene expression regulators that sense physicochemical signals (e.g.  
74 thermosensors and riboswitches), or can bind proteins and RNA regulators acting before  
75 completion of the transcription/translation of the gene. The precise length of all 5'-UTRs  
76 in the *Listeria* transcriptome has been recently determined by high resolution mapping  
77 of the TSSs in a genome-wide manner [13]. A group of 101 genes with an unusually long  
78 5'-UTR (>100nt) includes 10 known *Listeria* virulence factors [13] among which some  
79 have been extensively studied.

80       The main regulator that orchestrates the *Listeria* infectious process is PrfA  
81 (Positive regulatory factor A), a transcription factor of the Crp/Fnr family that induces  
82 the expression of major known virulence genes. Its expression is tightly regulated by two  
83 RNA-mediated mechanisms operating at its 116 nucleotide long 5'-UTR (Figure 1). First,  
84 the 5'-UTR of *prfA* mRNA is a **thermosensor** element, which adopts a stable stem-loop  
85 structure at a low temperature, thereby occluding the SD sequence and preventing  
86 binding of the ribosome. When the temperature increases to 37°C, the stem-loop melts  
87 into an alternative secondary structure, allowing the ribosome to access the SD  
88 sequence, leading to the translation of the *prfA* mRNA and to the subsequent induction of  
89 a number of virulence genes [20]. A second mechanism of *prfA* expression regulation  
90 involves a **trans-acting riboswitch-derived** element. Typically, riboswitches are 5'-  
91 UTR elements that, upon binding of a ligand (tRNA, ions or metabolites), undergo  
92 conformational changes and affect the transcription or the translation of a nascent  
93 mRNA transcript. Riboswitch-regulated transcripts usually encode genes involved in the

94 biosynthesis of the molecule that regulates the riboswitch[21]. In the case of *Listeria*, the  
95 short transcript of the SAM(S-adenosyl-methionine)riboswitchSreA, which regulates in  
96 *cis* the expression of genes involved in methionine and cysteine metabolism, interacts in  
97 *trans* with the 5'UTR of *prfA*mRNA, approximately 80 bases upstream of the SD site.This  
98 binding decreases the translation of *prfA*[22]. This is the first, and so far unique example  
99 of such a dual function for a riboswitch element. The PrfAthermosensor-mediated  
100 temperature sensingand the riboswitch-mediated nutrient sensing allow *Listeria* to  
101 sense its environment and accordingly regulate PrfA expression, turning on  
102 theexpression of crucial virulence genes solely when required in the host.

103 ***Cis*-encoded antisense RNAs (asRNAs)**are heterogeneous groups of regulatory  
104 transcripts that originate from the DNA strand opposite to genes they regulate, or can  
105 arise from overlapping 5'UTRs and 3'UTRs of adjacent genes. In all cases, *cis*-encoded  
106 antisense transcripts have perfect complementarity with the sense transcript and are  
107 denoted as antisense RNAs (asRNAs). Their length varies dramatically, ranging from less  
108 than a hundred to several thousand nucleotides, overlapping one or several genes. In  
109 *Listeria* there are 95asRNA transcripts annotated to date, whose function is in most  
110 cases unknown.

111 Of note, for some of the long asRNA transcripts, a recurring pattern was observed  
112 in at least 13 characteristic antisense containing genomic loci, which led to the definition  
113 of a novel concept in bacterial gene regulation named **excludon**[13, 23](Figure 2A). The  
114 excludon is a locus encoding two divergent genes with related and often opposite  
115 function and a long asRNAof one gene, thatalso contains the mRNA of the  
116 divergentadjacentgene. In two cases, it was demonstrated thatthe asRNA negatively  
117 affects the expression of the overlapped gene whereas its distal part constitutes a  
118 functional mRNA and positively contributes to the expression of the adjacent gene[12,

119 13]. In other words, an excludon functions as a genomic toggle where a single transcript  
120 governs the mutually exclusive expression of adjacent genes that generally have  
121 opposing functions. For example, an excludon regulates the transcription of  
122 flagellar/motility genes [12] (Figure 2A). Flagella are important mediators of *Listeria*  
123 pathogenicity [24] but at the same time, they are strong inducers of the host immune  
124 response [25] and therefore, their tight regulation is crucial for *Listeria* survival during  
125 infection.

126 The diversity of asRNA-mediated regulation is further illustrated by the  
127 remarkable example of a **riboswitch-regulated asRNA** in *Listeria* [26] (Figure 2B). A  
128 vitamin B12-dependent riboswitch regulates the expression of the asRNA AspocR, which  
129 overlaps the gene encoding PocR, a transcription factor that activates transcription of the  
130 genes mediating propanediol catabolism (*pdu*) and vitamin B12 biosynthesis (*cob*).  
131 Vitamin B12 is an important cofactor for the activity of diol-dehydratase, an enzyme  
132 required for propanediol catabolism. In the presence of B12, the riboswitch terminates  
133 prematurely AspocR transcription, allowing the subsequent expression of *pocR*, whereas  
134 in the absence of B12, AspocR is fully transcribed, thus negatively regulating PocR  
135 production. Interestingly, the negative regulation of *pocR* expression was observed  
136 when AspocR was expressed *in trans*, indicating that it likely interferes with the  
137 transcription or translation initiation of *pocR*. Overall, this mechanism ensures that PocR  
138 is produced uniquely when the B12 cofactor is available, allowing the subsequent  
139 activation of the propanediol catabolism genes. Propanediol, together with the closely  
140 related metabolite, ethanolamine, constitute important nutrient sources for bacterial  
141 enteropathogens [27]. Recently, it was shown that during intestinal infection by  
142 *Salmonella enterica*, use of ethanolamine as a carbon source enables the bacterium to  
143 outcompete the intestinal microbiota that cannot use this nutrient [28]. Accordingly, the

144 expression of genes involved in the utilization of propanediol and ethanolamine are up-  
145 regulated during intracellular growth of *Listeria* [29] and more interestingly, also in  
146 *Listeria* isolated from the intestine of germ-free mice pretreated with lactobacilli [30],  
147 suggesting their important role in *Listeria* virulence.

148 **Trans-encoded small RNAs (sRNAs)** are transcribed from intergenic regions, or  
149 are generated by processing of the 5'UTRs or 3'UTRs of mRNAs, and in contrast to the  
150 *cis*-sRNAs, they regulate targets encoded at distant genetic loci. The most extensively  
151 studied *trans*-encoded sRNAs are those targeting mRNA molecules. They can also bind  
152 and sequester proteins. The interaction between a sRNA and its target mRNA is  
153 mediated by short, imperfect base pairing and can either positively or negatively affect  
154 the target transcript [6]. In *Listeria* there are more than 150 transcripts annotated as  
155 sRNAs and similarly to the sRNA transcripts, their biological function is in most cases  
156 unknown [7-13]. However, important information about their expression conditions, and  
157 hints into their potential function, was obtained by extensive tiling array analysis using  
158 bacteria grown in four physiologically relevant conditions (exponential phase,  
159 stationary phase, hypoxia and low temperature), or isolated from intestine of axenic  
160 mice or bacteria grown in blood of human donors. The same panel of conditions was  
161 used to analyse mutants of known virulence regulators and RNA binding proteins  
162 ( $\Delta prfA$ ,  $\Delta sigB$ ,  $\Delta hfq$ ) [12, 13]. Likewise, RNA sequencing with the 454 technology of  
163 *Listeria* grown in macrophages, revealed sRNAs whose expression is induced during the  
164 intracellular phase of the infection [10]. Assuming that sRNAs are generally induced in  
165 conditions relevant for their biological role, these studies highlighted sRNAs whose  
166 function might be important for *Listeria* virulence, and enabled the prediction of their  
167 potential regulators. In addition, a number of sRNAs annotated in the *L.*  
168 *monocytogenes* genome are not conserved in the closely related, but non-pathogenic

169 species *L. innocua*[13]. Comparative genomic studies of the two species have been  
170 previously used to identify a number of *Listeria* virulence factors[1, 31], and it is thus  
171 tempting to speculate that *L. monocytogenes*-specific sRNAs would play a role in  
172 virulence. Indeed, nearly all sRNAs shown to have a role in virulence are absent from  
173 thenon-pathogenic species. Among these, Rli33-2 and Rli50, when deleted, led to an  
174 attenuated virulence phenotype in murine macrophage infection as well as in mouse and  
175 butterfly larvae infection models[10]; similarly, a deletion mutant of Rli38 resulted in an  
176 attenuated virulence phenotype in orally inoculated mice [12]. Another sRNA absent  
177 from *L. innocua*Rli27has been recently shown to positively regulate the expression of  
178 *lmo0514*, encoding an LPXTG surface protein enriched in the cell wall of intracellular  
179 bacteria[32]. This regulation occurs by mechanism involving pairing of Rli27 with the  
180 5'UTR of the *lmo0514* mRNA. Remarkably, *lmo0514* transcript is detected in two  
181 variants, differing in length and in relative amount in extra- and intracellular bacteria.  
182 Only the long version, more abundant in intracellular bacteria, contains the 5'-UTR  
183 recognized by the Rli27,rendering this regulation possible only inside the host cell  
184 (Quereda, et al.PLoS Genetics, *in revision*). Some sRNAs might have multiple target  
185 genes, as shown in the case of LhrA which affects expression of nearly 300 genes and  
186 directly regulates expression of *lmo0850*, *lmo0302* encoding proteins with an unknown  
187 function and *chiA*encoding a chitinase[33, 34]. ChiA contributes to *Listeria* pathogenesis  
188 [35].

189 It is worth mentioning that some *Listeria* sRNAs annotated as non-coding  
190 transcripts encode putative open reading frames (ORFs) for small, often very basic  
191 polypeptides, whose function is unknown. As reported for other species, these peptides  
192 could act as signaling molecules involved in bacterial communication or might play a  
193 role in bacterial virulence [36-38].

194 **CRISPR/Cas systems (Clustered Regularly Interspaced Short Palindromic**  
195 **Repeats)** provide bacteria and archaea with specific mechanisms of RNA-mediated  
196 adaptive immunity against invading nucleic acids, i.e. viruses and conjugative plasmids.  
197 Typically, CRISPR systems are composed of arrays of identical repeat sequences,  
198 interspaced with non-repetitive variable spacers, coupled with clusters of CRISPR-  
199 associated (*cas*) genes that are involved in all steps of CRISPR function. At the core of  
200 CRISPR functionality are the spacers, short DNA segments originating from a foreign  
201 DNA, which when transcribed provide a specific guide for CRISPR-mediated DNA/RNA  
202 silencing of the corresponding invading virus or a plasmid[39]. *Listeria* species encode  
203 three different CRISPR systems[40-42]. CRISPR-I and/or CRISPR-II are present in  
204 some *Listeria* strains and are always associated with *cas* genes. Their identified spacers  
205 match uniquely *Listeria* bacteriophages. The third CRISPR, the RliB-CRISPR (previously  
206 annotated as a sRNA named RliB) is present in all so far sequenced *Listeria* strains but is  
207 never associated with a *cas* locus. However, both in the *cas*-less *Listeria* strains and in  
208 those encoding a complete set of *cas* genes elsewhere in the genome (adjacent either to  
209 CRISPR-I or CRISPR-II), the RliB-CRISPR is expressed and processed [42]. Surprisingly,  
210 this processing is governed by the polynucleotide phosphorylase (PNPase), a genome-  
211 encoded bi-functional enzyme harboring both 3' to 5' exonuclease and 3' polymerase  
212 activities[43]. The identification of RliB-CRISPR processing by PNPase revealed a unique  
213 role for this enzyme in bacterial "CRISPRology". Similarly to CRISPR-I and CRISPR-  
214 II, RliB-CRISPR targets *Listeria* bacteriophages. Functional studies of RliB-CRISPR  
215 showed it has a DNA-interference activity. Singularly, its activity requires that both  
216 PNPase and the *cas* genes belonging to CRISPR-I are present in the genome. RliB-CRISPR  
217 and CRISPR-I share a similar repeat sequence, suggesting they might share the same  
218 enzymatic machinery required for their function[42]. Interestingly, RliB-CRISPR is

219 conserved in pathogenic *Listeria* species and its expression is significantly up-regulated  
220 in bacteria isolated from the intestinal lumen of gnotobiotic mice and in bacteria grown  
221 in the human blood. The *L. monocytogenes* EGD-emutant deleted for RliB-CRISPR  
222 colonized liver of intravenously inoculated mice better than the wild type bacteria [12].  
223 This phenotype was however opposite when mice were inoculated by the oral route  
224 (our unpublished data), suggesting that RliB-CRISPR might be important during the  
225 intestinal phase of the infection. Indeed, the human gut microbiome is rich in  
226 bacteriophages and CRISPR systems are highly dynamic in such an environment [44,  
227 45]. Therefore, RliB-CRISPR contribution to *Listeria* virulence might be indirect by  
228 impacting the bacterial survival challenged by bacteriophages. Additionally, during  
229 *Listeria* intracellular infection, a temperate prophage is excised, reconstituting a  
230 functional *comK* gene which promotes bacterial escape from the phagosome [46].  
231 Whether RliB-CRISPR, CRISPR-I or CRISPR-II contribute to the control of the prophage  
232 excision, remains to be examined. Altogether, RliB-CRISPR reveals the importance of the  
233 interactions between bacteriophages and bacteria during saprophytic life and during  
234 infection.

235 As a result of high throughput transcriptome studies a comprehensive overview  
236 of the *Listeria* non-coding genome in multiple growth conditions relevant for the  
237 infectious process is publicly  
238 available ([http://www.weizmann.ac.il/molgen/Sorek/listeria\\_browser/](http://www.weizmann.ac.il/molgen/Sorek/listeria_browser/)). The functional  
239 studies have revealed a broad diversity of regulatory mechanisms underlying the action  
240 of individual RNAs. A future challenge will be to decipher the biological function of the  
241 many annotated, but so far unexplored ncRNAs in *Listeria*. Altogether, recent research on  
242 *Listeria* RNA-mediated regulations, as well as the impressive number of studies in other

243 bacterial pathogens[47, 48], clearly points toncRNAs as crucial contributors to the  
244 virulence process.

## 245 **II. The mammalian miRNA response to *Listeria* infection**

246 MicroRNAs (miRNAs) are 21-24 nucleotide long regulatory RNAs present in  
247 animals, plants and viruses. They are derived from a long primary transcript (pri-  
248 miRNA) that is first processed in the nucleus by the RNaseIII family dsRNA-  
249 endonuclease Drosha into a pre-miRNA. The pre-miRNA is exported in the cytoplasm  
250 and further cleaved by another member of the RNase III family, Dicer. Processed single  
251 stranded miRNAs associate with the RNA-induced silencing complex (RISC), consisting  
252 of multiple proteins among which members of the argonaute protein family have RNase  
253 activities, and are central to the RISC function [49]. The miRNA interaction with the  
254 target mRNA is mediated by imperfect complementarity between the 3'-UTR of the  
255 target transcript and the miRNA-RNase ribonucleoprotein complex and it typically leads  
256 to translation inhibition and/or degradation of the target gene. To achieve effective  
257 processing, this interaction requires a so called "seed region", a sequence harboring  
258 perfect complementarity with the 5'-end of the miRNA [50].

259 As previously mentioned, miRNAs are involved in various physiological and  
260 pathological processes. Their role during bacterial infections of animals has only  
261 recently started to be investigated with several pioneering studies, e.g. in *Helicobacter*  
262 *pylori*, *Salmonella enterica* and *Mycobacterium avium*[51-54]. The role of miRNAs during  
263 *L. monocytogenes* infection has been addressed both in cultured cells[55, 56] as well as *in*  
264 *vivo* in mice models[30, 54, 57]. Here, those studies will be presented in an order, which  
265 may look awkward but follows the course of the natural infectious process.

266 *Listeria* infection starts by ingestion of contaminated food, which delivers the  
267 bacterium to the **intestinal lumen** of the host. There, *Listeria* competes with the  
268 intestinal microbiota in order to colonize the lumen, cross the intestinal barrier and  
269 further disseminate to deeper organs. A study examining the impact of lactobacillion  
270 orally acquired listeriosis [30]and a study addressing the role of microbiota in the  
271 regulation of miRNA expression in the ileum of *Listeria* infected mice[57] identified a  
272 particular expression response of protein-coding genes and interestingly, of miRNA  
273 regulators (Figure 3).These two comprehensive studies represent the first *in vivo*  
274 evidence of a particular miRNA signature induced during orally acquired *Listeria*  
275 infection. More interestingly, expression of several infection-induced miRNAs, such as  
276 miR-192, miR-143, miR-148a, miR-200b and miR-200cwas affected by the presence of  
277 lactobacilli or the host microbiota, demonstrating the important role of intestinal  
278 bacteria in the modulation of the host miRNA response to infection [30, 57]. A  
279 single miRNA family was common to both studies, i.e. miR-200, which has been reported  
280 to induce epithelial differentiation and suppress the epithelial-mesenchymal transition  
281 in several types of cancer [58] as well as to play a significant role during the *Helicobacter*  
282 infection [59]. ThemRNA target prediction results crossed with the transcriptomic data  
283 revealed that miR-200 and other regulated miRNAs could target genes with a function in  
284 immunity as well as genes whose function could be related to the infection. Some  
285 miRNAs could target the same protein-coding genes, suggesting the existence of  
286 complex miRNA-mRNA regulatory networks[30, 57]. Importantly, expression of some of  
287 the predicted targets anti-correlated with the expression of the putative miRNA  
288 regulator during the *Listeria* infection, e.g. an immune response transcription factor  
289 (*Atf3*), a retinoic acid induced protein that plays a role in epithelial cell differentiation  
290 (*Gprc5*), an enzyme involved in fucosylation of epithelial cells (*Fut2*), a protein that plays

291 a role in intestinal inflammation (*Nt5e*) and an RNA editing enzyme of the miRNA and  
292 small interfering RNA (siRNA) pathways (*Adar*), supporting that predicted interactions  
293 indeed might occur in the infected tissue. Moreover, a number of interactions  
294 were predicted to occur both in mice and humans. Their conservation in significantly  
295 distant organisms furthermore supports the validity of their biological function.

296       Following infection, *Listeria* needs to overcome the rapidly triggered host innate  
297 immune response. Early resistance to the *Listeria* infection relies in part on the  
298 production of interferon- $\gamma$  (IFN- $\gamma$ ) by **natural killer (NK) cells**, which promote the  
299 activation of macrophages [4]. Ma et al. reported that IFN- $\gamma$  expression is regulated by  
300 miR-29, which directly binds within the 3'UTR of the *ifn- $\gamma$*  mRNA. Interestingly, mice  
301 infected with *Listeria* showed decreased expression of miR-29 and a relevant increase in  
302 the production of IFN- $\gamma$ . Moreover, transgenic mice expressing a sponge target construct  
303 that competes with endogenous miR-29 targets, displayed a lower bacterial burden in  
304 comparison to the wild type mice, indicating that lower expression of miR-29 and higher  
305 IFN- $\gamma$  production in NK cells, promoted host resistance to *Listeria* infection [56].

306       In the following steps of the infection, *Listeria* is internalized by **macrophages**.  
307 During the infection of bone marrow derived macrophages (BMDMs), *Listeria* induces  
308 expression of 13 miRNAs among which miR-155, miR-146a, miR-125a-3p/5p and miR-  
309 149 are the most significantly up-regulated [54]. This induction occurs already when  
310 bacteria are in the phagosome and is mediated by MyD88, a universal adaptor protein  
311 used by almost all Toll-like receptors (TLRs) to activate the transcription factor NF- $\kappa$ B, a  
312 key regulator of the immune response to the infection. Indeed, miR-155 and miR-146  
313 are known modulators of the immune response in macrophages [60, 61], whereas the  
314 functions of miR-125a-3p, miR-125a-5p and miR-149 have not yet been described.  
315 Target prediction analysis suggested that all 5 miRNAs could potentially interact with

316 mRNAs encoding immune-related proteins. For instance, miR-125a-3p and miR-125a-5p  
317 could respectively target the interleukin-1 receptor 1 (*Il-1R1*) and IL-6 receptor (*Il-6 R*)  
318 transcripts[54].

319 The whole infectious process relies on the *Listeria* capacity to enter non-  
320 phagocytic cells. During infection of **epithelial** cells, *Listeria* induces expression of miR-  
321 155, miR-146b and miR-16 and decreases expression of let-7a1 and miR-145, all of  
322 which are also implicated in the regulation of immune-related genes. Interestingly,  
323 several major *Listeria* virulence determinants, the surface internalins InlA and InlB as  
324 well as the secreted toxin listeriolysin O (LLO), are implicated in the regulation of the  
325 above-mentioned miRNAs [55]. Purified LLO could fully reproduce the *Listeria*-induced  
326 miRNA expression profile whereas a *Listeria* deletion mutant for *inlA* and *inlB* led to  
327 decreased expression of miR-155, suggesting a putative role for internalins or *Listeria*  
328 entry in miRNA regulation [55].

329 After a primary infection, *Listeria* stimulates a strong memory **CD8<sup>+</sup> T-cells**  
330 response, allowing a rapid clearance of the bacteria from the infected tissues upon a re-  
331 infection [62]. Interestingly, in knock-out mice not expressing miR-155, the CD8<sup>+</sup> T-cell  
332 response is significantly reduced following *Listeria* infection, indicating that this miRNA  
333 has an important role in the regulation of the CD8<sup>+</sup>-mediated response to the infection  
334 by an intracellular pathogen [63]. However, the direct effect of *Listeria* on the expression  
335 of the miR-155 in this cell type is not known.

336 A significant effort has been made to identify numerous mammalian miRNAs,  
337 both *in vivo* and in different cellular models, whose expression is regulated during  
338 *Listeria* infection. Not surprisingly, the miRNA profile induced in the intestinal tissue is  
339 different from that induced by a *Listeria* infection in different cell lines. Nevertheless, the  
340 regulated miRNAs share similar functions (either predicted or experimentally described),

341 mainly regulating immune genes. Indeed, miRNAs are key components of the innate  
342 immune response [15, 64] and previously mentioned studies suggest that miRNAs are  
343 crucial regulators of host defenses against intracellular bacterial infection, but also  
344 potential targets for the pathogen-induced manipulation and/or evasion of the host  
345 immune response. Similarly to the miR-200 family, which is specific to the intestinal  
346 miRNA response, miR-155 and miR-146 appear to be induced by *Listeria* in different  
347 cellular contexts – BMDMs and epithelial cells. Interestingly, these miRNAs are also  
348 induced by other bacterial pathogens, e.g. *Helicobacter pylori* [51, 65], *Salmonella*  
349 *enterica*[52], *Mycobacterium avium*[53] as well as viral and fungal pathogens[66, 67],  
350 indicating their universal role in the common immunity pathways shared by different  
351 pathogens. In line with this remark, the expression of miR-155 and miR-146 is  
352 controlled by NF- $\kappa$ B pathway, which regulates a number of genes critical to innate and  
353 adaptive immunity, cell proliferation, inflammation, and tumor development[64].

354 Although identification of the miRNA profile during *Listeria* infection is clearly  
355 underway, a future challenge will be to decipher the molecular mechanism underlying  
356 the miRNA expression changes upon infection as well as to identify their relevance for  
357 the *Listeria* infectious process.

### 358 **III. A potential crosstalk of bacterial and mammalian regulatory RNAs during** 359 ***Listeria* infection**

360 As emphasized in the introduction, *Listeria* has evolved a number of sophisticated  
361 strategies to establish an efficient infection and promote its survival in the host.  
362 The *Listeria* effectors known to be involved in these complex roles include LLO, which  
363 forms pores, promotes escape from the vacuole, triggers histone modifications, other  
364 post-translational modifications and mitochondrial fragmentation, ActA which allows

365 *Listeria* to move intracellularly, InlC that interferes with NF- $\kappa$ B activation and LntA,  
366 which enters the host nucleus and induces chromatin remodeling. All these virulence  
367 factors are all proteinaceous molecules [1]. It is tempting to speculate that  
368 numerous *Listeria* ncRNAs for which the functions have not been identified, might as  
369 well act as such effectors i.e. RNA virulence factors that could be actively delivered to the  
370 host cell and manipulate host regulatory pathways.

371 While such RNA effectors have never been described in bacterial pathogens, and  
372 while it was never formally demonstrated that a specific bacterial RNA is actively  
373 delivered to the host cell, there is a strong logic supporting the existence of bacteria-host  
374 RNA-mediated communication. First, many pathogenic bacteria as exemplified by  
375 *Listeria*, enter the host cell and therefore have access to different cellular compartments.  
376 Second, they possess various systems of export/secretion that can secrete proteins, and  
377 also nucleic acids. For instance, it has been shown that *Neisseria gonorrhoeae* can secrete  
378 single stranded DNA by the type IV secretion system (T4SS) [68]. In the case of *Listeria*, it  
379 has been shown that it can secrete small nucleotides such as c-diAMP [69], and it was  
380 recently demonstrated it can also release DNA and RNA during the infection of the host  
381 cell [70]. Third, regulatory RNAs offer a general mechanism to interfere with  
382 mammalian regulation. For example, a bacterial RNA could bind a host miRNA and  
383 inhibit its function or it could mimic a mammalian miRNA, thereby overtaking the  
384 miRNA-machinery and affecting the expression of the host genes. As emphasized in the  
385 preceding section, miRNAs are key components of the immune response [15, 64], which  
386 makes them exceptional targets for such pathogen-induced manipulation. As shown  
387 with *Listeria* and other pathogens, their expression is indeed significantly affected upon  
388 infection. Alternatively, one should not exclude the possibility that RNA virulence

389 effectors might affect function of other host ncRNAs, such as lncRNAs, or could bind and  
390 sequester host regulatory proteins as well as have other, yet unanticipated functions.

391 A direct evidence of such host-pathogen cross-kingdom RNA-mediated regulation  
392 comes from some remarkable studies of viral and fungal pathogens. *Herpesvirus*  
393 *saimiri* (HVS) and also cytomegalovirus (CMV) express RNAs that interact and lead to  
394 degradation of the host miR-27, consequently affecting the expression of miR-27 target  
395 genes [71-73]. A fungal pathogen *Botrytis cinerea* expresses a set of sRNAs, which mimic  
396 host miRNA and bind to Argonaute 1 protein (AGO1), selectively silencing a subset of  
397 host immunity genes [74].

398 It has been recently shown that during infection, viable *Listeria* can release  
399 nucleic acids in the host cytoplasm [70]. As said above, this occurs also for other  
400 pathogenic bacteria and is essential for generation of anti-microbial immunity [75].  
401 Cytosolic *Listeria* can release the second messenger c-di-AMP [69] as well as RNA/DNA  
402 [70, 76] that are recognized by the sensors RIG-I, MDA-5 and STING, resulting in the  
403 production of IFN. Bacterial RNAs are exceptionally good PAMPs (Pathogen Associated  
404 Molecular Patterns – molecules associated with pathogens that are recognized by the  
405 innate immunity) as they differ from the eukaryotic RNA by the nature of their 5'-end,  
406 which instead of a trimethylguanosine cap, consists of a triphosphate. RIG-I has been  
407 shown to recognize triphosphorylated *Listeria* RNA [76]. More importantly, translocation  
408 of RNA during the *Listeria* infection was visualized in the host cytosol using a sensitive  
409 RNA fluorescence technique [76] and this translocation was shown to be dependent on  
410 the activity of SecA-2 [70], an auxiliary protein secretion system that promotes secretion  
411 of several virulence factors [77] as well as other genes whose expression is strongly  
412 induced *in vivo* [78]. These data strongly indicate that the translocation of nucleic acids

413 during the infection is not a product of bacterial lysis but might be governed by an active  
414 bacterial process.

415 Even though these studies provide evidence that *Listeria* RNA has an access and is  
416 actively delivered to the host cytosol during the infection, nothing is known about the  
417 specificity of this process and its potential benefit for the pathogen. A secreted  
418 RNA virulence factor has never been identified in bacteria and this exciting hypothesis  
419 remains to be explored in the future.

#### 420 421 **IV. Conclusions**

422  
423 Decades of research led to the discovery of numerous *Listeria* molecular  
424 strategies, which have been selected during the billions of years of pathogen-host  
425 coevolution, to establish a successful infection. ncRNAs are versatile regulators  
426 important for the *Listeria* virulence gene expression, metabolism regulation and the  
427 interaction with the host. Similarly, eukaryotic miRNAs are potent regulators controlling  
428 the expression of the human genome with an important accent on the immune response  
429 regulation. This makes them a potent target for pathogen manipulation. Indeed, during  
430 different phases of the *Listeria* infectious process, the host miRNA expression is  
431 significantly altered. Similarity between prokaryotic and eukaryotic RNA-mediated  
432 molecular mechanisms and the accessibility of the host RNA machinery to the  
433 intracellular *Listeria* highlights a possibility of the interspecies RNA crosstalk between  
434 the pathogen and the host.

#### 435 436 **V. Future perspectives**

437 As revealed by transcriptomic studies, most of the *Listeria* genome is expressed,  
438 however little is known about the biological function of many transcripts. Exploration of

439 their function will certainly reveal new principles of gene regulation in bacteria. Our  
440 understanding of *Listeria* interaction with the mammalian miRNA regulatory pathways  
441 is still in its infancy. Most of the studies performed so far are descriptive, yet they  
442 achieved significant progress in recording miRNA expression changes in the host upon  
443 *Listeria* infection. A future challenge will consist in deciphering(a) how *Listeria* targets a  
444 specific set of miRNAs during a particular phase of the infectious process, (b) what are  
445 the regulated target genes, and (c) what is the direct benefit for the bacterium as well as  
446 for its virulence.

447         Up to now, the known *Listeria* virulence effectors are protein molecules. Being  
448 aware of the versatile nature and immense regulatory capacity represented by RNA  
449 molecules, and supported by the studies in viral and fungal pathogens, one can  
450 imaginethe exciting hypothesis that such secretedvirulence effectors might also be  
451 RNAs. The identification of such RNA effectors would open new horizons in the studies  
452 of pathogen-host interactions and the field of cellular microbiology.

453

454

455 **Executive summary:**456 *Listeria* regulatory RNA repertoire important for the virulence process

- 457 • *Listeria monocytogenes* is an invasive pathogenic bacterium whose virulence  
458 factors expression is controlled by RNA-mediated regulatory mechanisms.
- 459 • The expression of the main *Listeria* virulence regulator PrfA is regulated by an  
460 RNA thermosensor and a trans-acting SAM riboswitch.
- 461 • In *Listeria*, 13 long asRNAs named excludon, which regulate expression of genes  
462 with opposite functions and act as fine-tuning regulatory switches, have been  
463 identified.
- 464 • The *Listeria* vitamin B12 biosynthesis and propanediol catabolism, an important  
465 nutrient during the intestinal phase of the infection, is controlled by the  
466 transcription factor Pocr. Expression of Pocr is regulated by the B12 riboswitch-  
467 regulated asRNA AsPocr.
- 468 • There are more than 150 annotated sRNAs in *Listeria* with mostly unknown  
469 functions. For some of them it has been shown a role in virulence.
- 470 • *Listeria* RliB-CRISPR system, which is processed with the help of chromosomally  
471 encoded polynucleotide phosphorylase (PNPase), has a role in the virulence  
472 process.

473 The mammalian miRNA response to *Listeria* infection

- 474 • During the infection, *Listeria* induces expression changes of the host miRNAs,  
475 mainly regulating immune genes.
- 476 • The miR-200 family is specific to the intestinal miRNA response after orally  
477 induced listeriosis and miR-155 and miR-146 appear to be induced by *Listeria* in  
478 different cellular contexts.

479

480  
481 A potential crosstalk of bacterial and mammalian regulatory RNAs during *Listeria*  
482 infection

- 483 • Viable *Listeria* can release nucleic acids in the host cytoplasm which might have a  
484 regulatory function to favor the infection.

485

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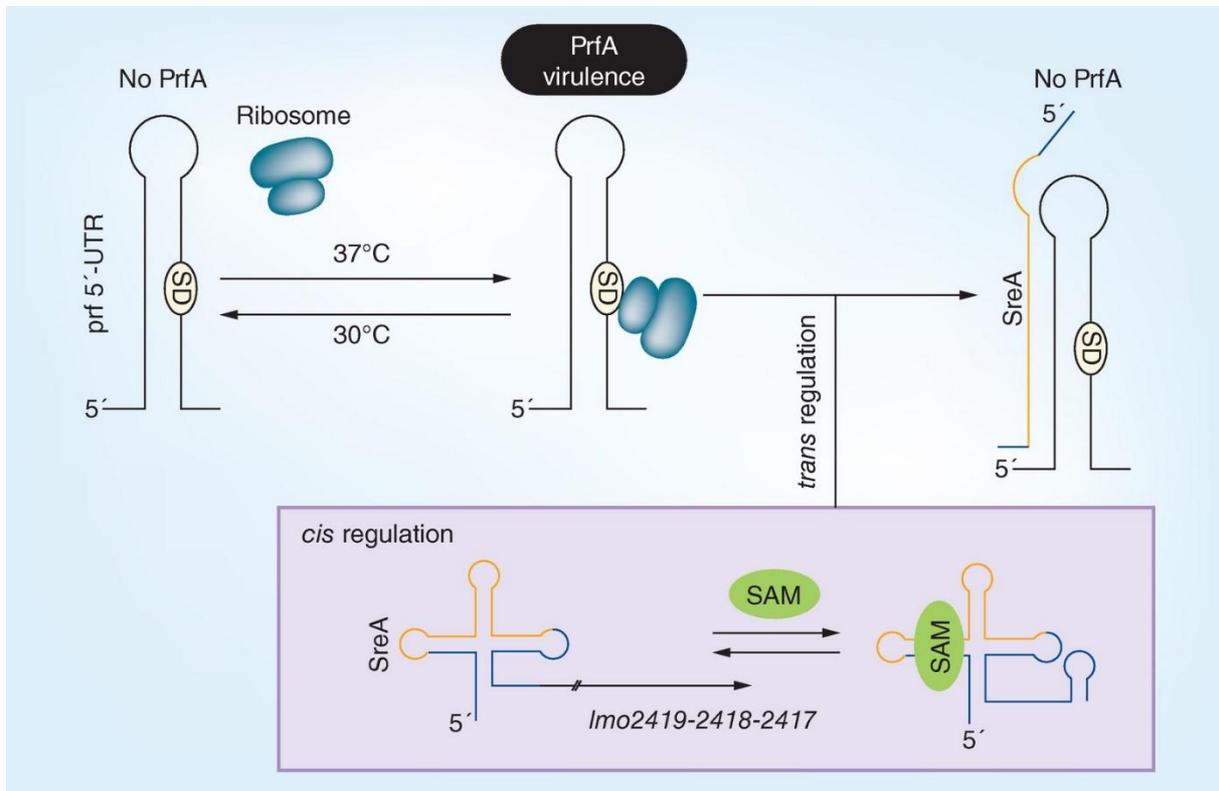
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699 microRNA response upon oral *Listeria* infection.*MBio* 4(6), e00707-00713 (2013).  
700 **Comprehensive *in vivo* study of miRNA expression response upon orally acquired**  
701 **listeriosis.**
- 702 \*\* Abdullah Z, Schlee M, Roth S *et al.*: RIG-I detects infection with live *Listeria* by sensing secreted  
703 bacterial nucleic acids.*Embo J* 31(21), 4153-4164 (2012).

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Shows *Listeria* nucleic acids are released during the host cell infection via SecA2 dependent pathway.

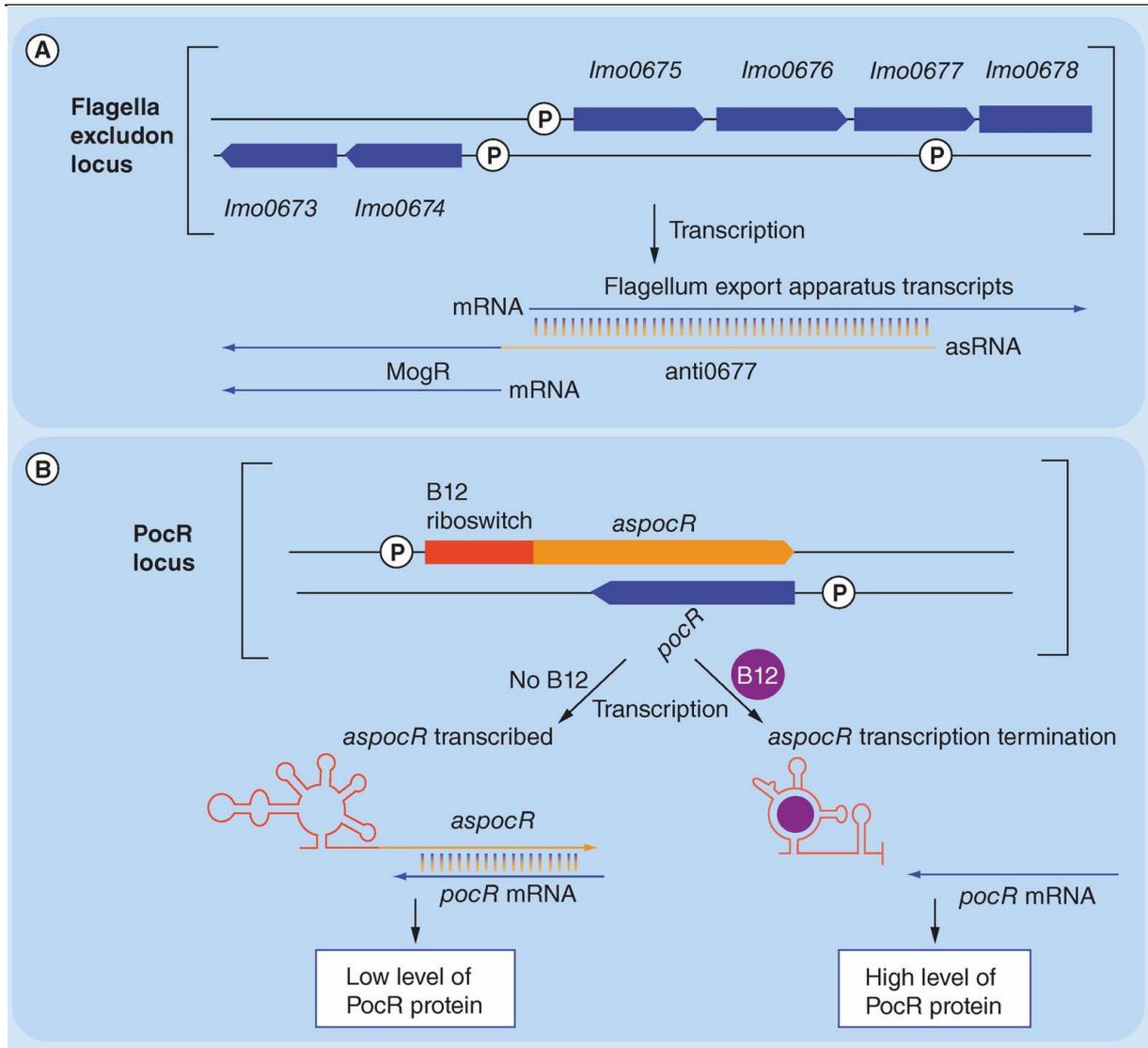
Figures:



708

709 **Figure 1. 5'UTR-mediated regulation of PrfA expression.**

710 At temperatures below 37°C, the 5'UTR of *prfA*mRNA forms a stable hairpin structure  
711 that occludes the Shine-Dalgarno sequence (SD) and prevents binding of the ribosome.  
712 At 37°C this structure melts, allowing the ribosome to bind and produce the PrfA protein  
713 that activates expression of many virulence genes. In addition, at 37°C the transcript  
714 generated by the S-adenosyl-methionine (SAM) riboswitch (SreA) interacts with the  
715 *prfA*5'-UTR and prevents the production of the PrfA protein.

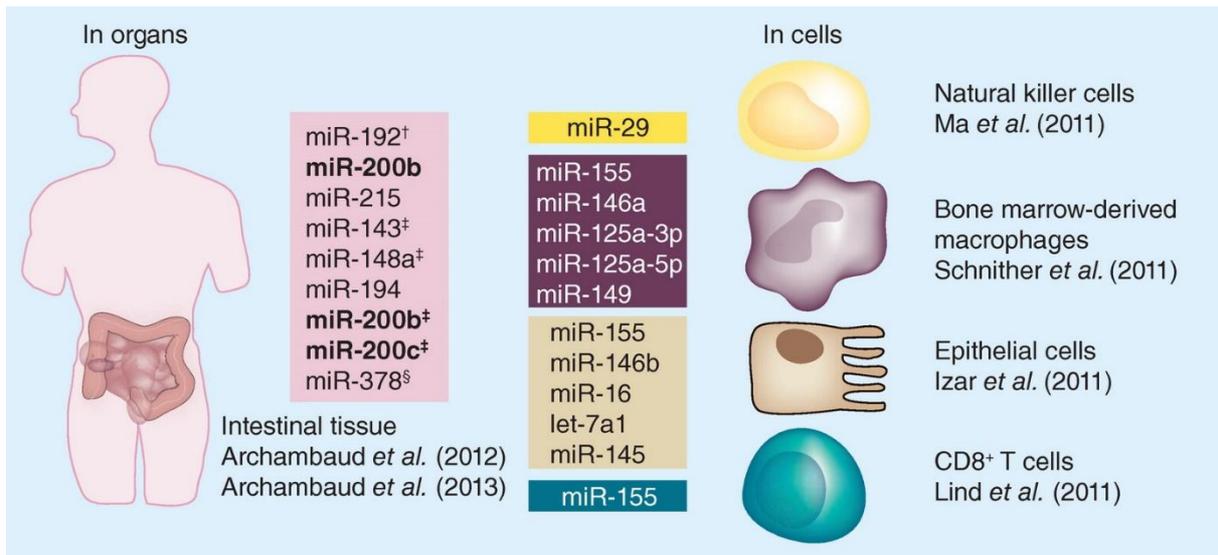


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717 **Figure 2. The asRNA-mediated mechanisms of gene regulation in *Listeria***

718 **A)** Example of an excludon, where a long asRNA Anti0677 overlaps and serves as an  
 719 antisense regulator of *Imo0675*, *Imo0676* and *Imo0677* encoding FliN, FliP and FliQ,  
 720 respectively, which are components of the flagellum export apparatus, while  
 721 simultaneously encompassing the 5'-UTR and the mRNA of *Imo0674* encoding MogR, a  
 722 transcriptional repressor of the flagellum genes. The expression of Anti0677 is regulated  
 723 by sigmaB ( $\sigma_B$ , a stress-activated transcriptional regulator). Altogether, the excludon  
 724 ensures that by two mechanisms (inhibition mediated by the antisense component of  
 725 anti0677; and repression mediated by increased expression of the MogR repressor)

726 flagellum production is switched off. **B)** The vitamin B12-dependent riboswitch regulates  
 727 expression of the asRNA *AspocR*, which overlaps the gene encoding *PocR*. In the absence  
 728 of vitamin B12, the riboswitch forms an anti-terminator structure, which allows the  
 729 transcription of *AspocR*, resulting in the decreased production of the *PocR*. In the  
 730 presence of vitamin B12, the riboswitch generates a short transcript, allowing increased  
 731 production of *PocR* transcription factor.



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 733 **Figure 3. Regulation of the host miRNA expression during *Listeria* infection.**

734 Schematic representation of the significantly regulated miRNAs in the intestinal tissue  
 735 during orally acquired listeriosis (grey) and in infected cell lines (blue, purple, orange  
 736 and green). Highlighted are the miRNAs whose expression is modulated by the presence  
 737 of the host microbiota or lactobacilli: expression decrease upon *L. monocytogenes*  
 738 infection and expression increase upon treatment with *Lactobacillus* (\*), expression  
 739 decrease only in the presence of microbiota upon *L. monocytogenes* infection (\*\*),  
 740 expression decrease in the presence of microbiota and expression increase in the  
 741 absence of microbiota mice upon *L. monocytogenes* infection (\*\*\*). In bold are miRNAs  
 742 detected to vary during different infections.

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Type of RNA regulator	Specific name	Study (year)	Ref.
<b>5'-UTR</b>			
Thermosensor	5'-UTR <i>prfA</i> mRNA	Johansson <i>et al.</i> (2002)	[20]
<i>Trans</i> -acting riboswitch	SAM SreA	Loh <i>et al.</i> (2009)	[21]
<b><i>Cis</i>-encoded asRNAs</b>			
Excludon	Anti0677	Toledo-Arana <i>et al.</i> (2009), Wurtzel <i>et al.</i> (2012), Sesto <i>et al.</i> (2013)	[12,13,22]
Riboswitch-regulated asRNA	Anti-PocR	Mellin <i>et al.</i> (2013)	[23]
<b><i>Trans</i>-encoded sRNAs</b>			
	Rli31, Rli33-2, Rli50	Mraheil <i>et al.</i> (2011)	[10]
	Rli38	Toledo-Arana <i>et al.</i> (2009)	[12]
	Rli27	Quereda <i>et al.</i> (2014)	[34]
	LhrA	Christiansen <i>et al.</i> (2006)	[7]
<b>CRISPR</b>			
	rliB-CRISPR	Sesto <i>et al.</i> (2014)	[24]

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**Table 1.** RNA-mediated regulatory mechanisms related to *Listeria* virulence